

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

9512-002-27

First Inventor or Application Identifier

Joel Brian DERRICO

Title

CLUSTER COMPUTER NETWORK APPLIANCE WHITE PAPER

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

- ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☒ Applicant claims small entity status.
2. ☒ Specification Total Pages **23**
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **5**
4. ☒ Oath or Declaration Total Pages **3**
- a. ☒ Newly executed (original)
- b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with box 16 completed)
5. ☐ Incorporation By Reference (usable if box 4B is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

ACCOMPANYING DOCUMENTS

6. ☒ Assignment Papers (cover sheet & document(s))
7. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
8. ☐ English Translation Document (if applicable)
9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
10. ☐ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
13. ☐ Request for Priority
14. ☐ List of Inventors' Names and Addresses
15. ☒ Other: Check in the amount of \$665.00

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:


- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:
Prior application information: Examiner: Group Art Unit:

17. Amend the specification by inserting before the first line the sentence:

- ☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. Filed on
- ☐ This application claims priority of provisional application Serial No. Filed

18. CORRESPONDENCE ADDRESS

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Name	Steven B. Kelber	Registration No.		30,073	
Signature		Date	November 16, 2001	Telephone	202-861-3900
Name	Kenneth K.D. Vu	Registration No.		46,323	

FEE TRANSMITTAL

Docket No.	9512-002-27
Serial No.	NEW PATENT APPLICATION
Filing Date	HEREWITH
Inventor(s)	Joel Brian DERRICO et al.
Group Art Unit	
TOTAL AMOUNT OF PAYMENT	\$665.00
Examiner	

1. ☒ Applicant claims small entity status.
- ☒ Charge any UNDERPAYMENT or credit any OVERPAYMENT in the indicated fees to Deposit Account No. 50-1442.
- ☐ Charge the indicated fees to Deposit Account No. 50-1442.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

2. ☒ Check enclosed.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description		Fee Code		Fee (\$)		Fee Paid	
Fee Code	Fee (\$)	Fee Code	Fee (\$)								
101	740	201	370	Utility filing fee	370.00	115	110	215	55	1-mo. ext. of time	
106	330	206	165	Design filing fee		116	400	216	200	2-mo. ext. of time	
107	510	207	255	Plant filing fee		117	920	217	460	3-mo. ext. of time	
108	740	208	370	Reissue filing fee		118	1440	218	720	4-mo. ext. of time	
114	160	214	80	Provisional filing fee		128	1960	228	980	5-mo. ext. of time	
SUBTOTAL (1)					\$370.00	119	320	219	160	Notice of Appeal	


2. EXTRA CLAIM FEES

tot. claims	39	-	20*	=	19	x	\$9	=	171	121	280	221	140	Request for Oral Hearing		
ind. claims	5	-	3*	=	2	x	\$42	=	84	142	1280	242	640	Utility/Reissue Issue Fee		
<input type="checkbox"/>	Multiple Dependent Claims							\$140	=		143	460	243	230	Design Issue Fee	
Large Entity		Small Entity			Fee Description					144	620	244	310	Plant Issue Fee		
Fee Code	Fee (\$)	Fee Code	Fee (\$)						122	130	122	130	Petitions to the Commissioner			
103	18	203	9	Claims in excess of 20					126	180	126	180	IDS Submission			
102	84	202	42	Independent claims in excess of 3					581	40	581	40	Assignment	40.00		
104	280	204	140	Multiple dependent claim, if not paid					179	740	279	370	For Filing RCE			
109	84	209	42	*Reissue independent claims over original patent					169	900	169	900	Expedited Design			
110	18	210	9	*Reissue claims in excess of 20 and over original patent					OTHER (indicate below):							
SUBTOTAL (2)								\$255.00								

* or number previously paid, if greater; For Reissues, see above

SUBTOTAL (3)

\$40.00

Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	November 16, 2001
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